

| Client's Name : | | |
|-------------------|------|--|
| Trading A/C No.: | | |
| CDC Sub-A/C No. : | | |

INVESLINK CAPITAL (PVT) LIMITED

TREC Holder Pakistan Stock Exchange Limited 717, 7th Floor, Stock Exchange Building,

Stock Exchange Road, Karachi -74000

Ph: 021-32446230, 021-32446231 Fax: 021-32417712

Broker Registration No.: <u>BRP-121</u> Email: <u>inveslink185@gmail.com</u> icp@inveslinkcapital.com



Inveslink Capital (Pvt) Limited

717, 7th Floor, Stock Exchange Building, Stock Exchange Road, Karachi -74000

Ph: 021-32446230, 021-32446231 Fax: 021-32417712

Joint

Broker Registration No.: BRP-121

Nature of Account Single

| For official use of the Participant only | | | | | | | | | |
|--|-------|--|--|--|--|--|--|--|--|
| Application Form No: | | | | | | | | | |
| CDS Participant ID: | 14100 | | | | | | | | |
| Sub-Account No: | | | | | | | | | |
| Trading Account No: (if applicable) | | | | | | | | | |

SUB-ACCOUNT OPENING FORM FOR INDIVIDUALS

(Sub-Accounts are opened and maintained by Participants in accordance with the CDC Regulations made pursuant to Section 4 of the Central Depositories Act, 1997)

(Please use BLOCK LETTERS to fill the form)

| I/We hereby apply for opening of my/our Sub- "Participant") maintained in the Central Deposit are given as under: | | | | | | | | | | | | | | | |
|---|--|----------|---------|------|-----|------|---------|---------|---------|-----------|----------|----|---------------|------|---|
| A. REGISTRATION (AND OTHER) DETAIL | LS OF | MAIN . | APPLI | CANT | | | | | | | | | | | |
| 1. Full name of Applicant (As per CNIC / NIC | COP / P | assport |) MR. / | MRS. | MS | S. | | | | | | | | | |
| 2. Father's / Husband's Name: | | | | | | | | | | | | | | | |
| 3. Contact Details of Main Applicant: | | | | | | | | | | | | | | | |
| (a) Permanent Address: (Address should be different from Participant | 's busir | iess add | ress) | | | | | | | | | | | | |
| (b) Mailing Address: | | | | | | | | | | | | | | | |
| (c) Contact No: | (d) F | ax: (opt | ional) | | | | | | (e) l | Email: (c | ptional) |) | | | |
| 4. Computerized National Identity Card No: (For resident Pakistani) | | | | | | | - | | | | | | | - | |
| 5. Expiry date of CNIC: | | • | | | , | | | | | _ | | | | | |
| 6. NICOP No: (For non-resident Pakistani) | | | | | | | - | | | | | | | - | |
| 7. Expiry date of NICOP: | | • | | | | • | | • | | | • | • | | • | |
| 8. Passport details: | (For a foreign or an a Polistonia vicinia) | | | | | | | | | | | | | | |
| (For a foreigner or a Pakistani origin) Date of Issue: | | | | | | | | | 1 | Date of I | Expiry: | | | | |
| 9. Details of Contact Person: [Note: Contact Person is the Main Applicant or (a) to (h) below] | | | | | | | | | | | | | | | |
| (a) Name: MR. / MRS. / MS. | | | | | | | | | | | | | | | |
| (b) Relationship/ association of the Attorney with | h the M | ain App | licant: | | | | | | | | | | | | |
| (c) Address: | | | | | | | | | | | | | | | |
| (d) Computerized National Identity Card No: | | | | | | | - | | | | | | | - | |
| (e) Expiry date of CNIC: | | | | | | | | | | | | | | | |
| (f) Contact No: | (g) F | ax: (opt | ional) | | | | | | (h) E | lmail: (o | ptional) | | | | |
| 10. Share holder's Category: | | | | IN | DIV | /IDU | JAL | | | | | | | | |
| | A | GRICUI | TURIS | Т | | BU | SINES | S | ŀ | IOUSEV | VIFE | | HOUS | EHOL | D |
| 11. (a) Occupation: [Please tick (✔) the appropriate box] | RI | ETIRED | PERSO | ON | | ST | JDEN' | Г | E | BUSINE | SS EXE | C. | INDUSTRIALIST | | |
| | PF | ROFESS | IONAL | , | | SEI | RVICE | | (| THERS | (specif | y) | | | |
| (b) Name of Employer / Business: | | | | | | (c) | Job Tit | le / De | signati | on: | | | | | |
| (d) Address of Employer / Business: | | | | | | | | | | | | | | | |
| Signatures: | | | | | | | | | | | | | | | |
| Main Applicant Joint Applicant 1 Joint Applicant 2 Joint Applicant 3 Participant | | | | | | | | | | | | | | | |

| B. REGISTRATION (AND OTHER) DETA | AILS (| OF TI | HE J | OINT | APPL | ICAN | NT(S) |) | | | | | | | | | | | | |
|--|--------|---------|-------------|------------------------|----------|---------|-------|---------------------|----------|---------|--------|-----------------------------|------|--------|--------|----------|---------|-----------------|---------|----------|
| | PER | SON | AL I | NFOR | MATI | ON – | JOI | NT AF | PL | LICA | NT N | 0.1 | | | | | | | | |
| 1. Full name of Applicant (As per CNIC / N | ICOP | / Pas | sspor | t) MR | . / MR | S. / M | IS. | | | | | | | | | | | | | |
| 2. Father's / Husband's Name: | | | | | | | | | | | | | | | | | | | | |
| 3. Permanent Address: | | | | | | | | | | | | | | | | | | | | |
| (Address should be different from Participal | | | | | • | | | | | | | | | | | | | | | |
| 4. (a) Contact No: | | (b) Fa | x: (o | ptiona | 1) | | | | 1 | - | | (c) Em | ail: | (opt | ional) | | | | ı | ı |
| 5. Computerized National Identity Card No (For resident Pakistani) |): | | | | | | | - | | | | | | | | | | | - | |
| 6. Expiry date of CNIC: | | • | | | | | | | | | | • | | | | | | | | |
| 7. NICOP No: | | | | | | | | _ | | | | | | | | | | | _ | |
| (For non-resident Pakistani) | | | | | | | | | | | | | | | | | | | | |
| 8. Expiry date of NICOP: | | | | D | NT. | 1 | | | | | | D1. | | . C T | | | | | | |
| 9. Passport details: (For a Foreigner or a Pakistani origin) | | | | ^ | ort Nu | | | | | | | | | of Iss | | | | | | |
| (For a Poreigner or a Lakisiani origin) | l | 4.03 | DICI | | of Issu | 2: | | NIGNI | EC | C | | | | f Exp | | _ | | TOTIC: | ELIOI I | <u> </u> |
| 10. (a) Occupation: | | | | JLTUR D. DED | | | | BUSINESS STUDENT | | | | HOUSEWIFE BUSINESS EXEC. | | | | | | EHOLI STRIAI | | |
| [Please tick (\checkmark) the appropriate box] | | | | D PER | | | | | | | | | | | | \vdash | 1 | INDUS | IKIAI | LIST |
| 4) 27 4 (2) | | PRC | JFES | SION | AL | | | SERVI | | | | OTHE | ERS | (spe | city) | _ | | | | |
| (b) Name of Employer / Business: | | | | | | | (| c) Job | Tit | le / De | esigna | tion: | | | | | | | | |
| (d) Address of Employer / Business: | | | | | | | | | | | | | | | | | | | | |
| | PER | SON | AL I | NFOR | MATI | ON – | · JOI | NT AF | PL | LICA | NT NO | 0. 2 | | | | | | | | |
| 1. Full name of Applicant (As per CNIC / N | ICOP | / Pas | sspor | t) MR | . / MR | S. / M | IS. | | | | | | | | | | | | | |
| 2. Father's / Husband's Name: | | | | | | | | | | | | | | | | | | | | |
| 3. Permanent Address: | | | | | | | | | | | | | | | | | | | | |
| (Address should be different from Participal 4. (a) Contact No: | | | | ress) ptiona | 1) | | | | | | | (c) Em | ail. | (ant | ional) | | | | | |
| 5. Computerized National Identity Card No | | (в) га | X; (0 | puona | 1) | | | | 1 | | | (c) Em | an. | (opt | lonai) | \neg | | | | 1 |
| (For resident Pakistani) | " | | | | | | | - | | | | | | | | | | | - | |
| 6. Expiry date of CNIC: | | | | | | | | | | | | | | | | | | | | |
| 7. NICOP No: | | | | | | | | - | | | | | | | | | | | - | |
| (For non- resident Pakistani) 8. Expiry date of NICOP: | | | | | | | | | | | | | _ | | | Щ | | | | |
| | | | | Dagge | ant Nive | | | | | | | Dia | | of Iss | | | | | | |
| 9. Passport details: (For a Foreigner or a Pakistani origin) | | | | | ort Nu | | | | | | | _ | | | | | | | | |
| (10) a 10) eigher of a 1 axistant origin) | | A C1 | DICI | JLTUR | | 3: | 1 | BUSIN | DC | C | | HOUS | | of Exp | | | 1 | IOUE | EHOLI | D . |
| 10. (a) Occupation: | | | | D PER | | | | STUDE | | | | BUSI | | | | | | | TRIAI | |
| [Please tick (🗸) the appropriate box] | | | | SION | | - | | SERVI | | | | | | | | \vdash | - 1 | INDUS | IKIAI | LISI |
| (b) Name of Employer / Business. | | FKC | JFES | SION | 1L | | | | | | | OTHE | CNS | (spe | city) | _ | | | | |
| (b) Name of Employer / Business: | | | | | | | (| c) Job | 1111 | ie / De | esigna | uon: | | | | | | | | |
| (d) Address of Employer / Business: | | | | | | | | | | | | | | | | | | | | |
| | PER | SON | AL I | NFOR | MATI | ON – | · JOI | NT AP | PL | LICA | NT NO | 0.3 | | | | | | | | |
| 1. Full name of Applicant (As per CNIC / N | ICOP | / Pas | sspor | t) MR | . / MR | S. / M | IS. | | | | | | | | | | | | | |
| 2. Father's / Husband's Name: | | | | | | | | | | | | | | | | | | | | |
| 3. Permanent Address: | 1 | | 11 | , , | | | | | | | | | | | | | | | | |
| (Address should be different from Participal 4. (a) Contact No: | | | | <i>ress)</i> ptiona | 1) | | | | | | | (c) Em | oil. | (ont | ional) | | | | | |
| 5. Computerized National Identity Card No | , | (D) F a | IA. (U | puona | 1) | | | | Τ | | | (C) Em | an. | (opt | ionai) | \top | | | 1 | |
| (For resident Pakistani) | , | | | | | | | - | | | | | | | | | | | - | |
| 6. Expiry date of CNIC: | | | | | | | | | | | | | | | | | | | | |
| 7. NICOP No: | | | | | | | | - | | | | | | | | | | | - | |
| (For non- resident Pakistani) 8. Expiry date of NICOP: | | l | | | | | | | <u> </u> | | | | | | | | | | | |
| | | | | Docer | ort Nu | mbar | | | | | | Dlo | 100 | of Iss | 110: | | | | | |
| 9. Passport details: (For a Foreigner or a Pakistani origin) | | | | | | | • | | | | | _ | | | | | | | | |
| (10) a 10 reigner of a 1 axistant origin) | | ACI | DICI | JLTUR | of Issu | e: T | 1 | BUSIN | EC | C | | HOUS | | of Exp | | _ | 1 | HOHE | EHOLI | <u> </u> |
| 10. (a) Occupation: | | | | D PER | | - | _ | STUDE | | | | BUSI | | | | \vdash | | | TRIA | |
| [Please tick (🗸) the appropriate box] | | | | | | - | | | | | | | | | | \vdash | - 1 | INDUS | IKIAI | LISI |
| ANN SECTION (Projection) | | PRC | JFES | SION | 1L | | | SERVI | | | | OTHE | CKS | (spe | city) | | | | | |
| (b) Name of Employer / Business: | | | | | | | (| c) Job | 11t. | ie / Do | esigna | uon: | | | | | | | | |
| (d) Address of Employer / Business: | | | | | | | | | | | | | | | | | | | | |
| Signatures: | | | | | | | | | | | | | | | | | | | | |
| Main Applicant Joint Applica | ant 1 | | | Io | int App | licon | t 2 | | | L | int A. | plican | + 3 | | | D | artici | inant | | |
| ман аррисан зони Аррис | ant 1 | | | J O. | ш трр | nedill | . 4 | | | JC | ant AJ | pnean | | | | P | ai tiCl | pant | | |
| | | | | | | | | | | | | | | | | | | | | |
| x | | | | _ | | | | | | _ | | | | | | _ | | | | |

| C. OTHER INFORMATION | | | | | | | | | | | | | | | | |
|--|--|---|-----------|---------|----------|--------------|----------|----------|----------|-------------|---------|--------|---------|---------|-------|-------|
| 1. Dividend Mandate [Please tick | (v) the a | ppropriate box] Yes | | No | If | yes, pl | ease pro | ovide fo | ollowi | ng de | etails: | | | | | |
| (a) Account Title: | | , | | | | (b) II | BAN: | | | | | | | | | |
| (c) Name of Bank: | | | | | | (d) B | Branch: | | | | | | | | | |
| (e) Address: | | | | | | | | | | | | | | | | |
| 2. National Tax No: (Optional) | | | | | | | | | | | | | | | | |
| 3. Nationality: | | | | | | | | | | | | | | | | |
| 4. Residential Status [Please tick (| () the ap | propriate box] | R | Residen | et . | No | n-Resid | lent | Re | epatri | iable | N | on-Re | epatrio | ıble | |
| | | Pakistani | | | | | | | | | | | | | | |
| | | Pakistani Origin | | | | | | | | | | | | | | |
| | | Foreign National | | | | | | | | | | | | | | |
| 5. If you are maintaining any Spec | | (a) SCRA Account No: | | | | (b) B | Bank Na | ime: | | | | | | | | |
| Convertible Rupee Account ("SCI please provide details in (a) to (c): | kΑ"), | (c) Branch Details: | | | | | | | | | | | | | | |
| | | l | | | | | | Pleas | e tick | (') | the ap | propi | riate b | юх | | |
| 6. Zakat Status: | | | | | | П | Musli | im Zak | at pay | able | | | | | | |
| (If, according to the Fiqh of the Applicant(s), Zakat deduction is not applicable, then relevant Muslim Zakat non-payable | | | | | | | | | | | | | | | | |
| Declaration on prescribed format shall be submitted with the concerned Issuer and the Participant) Non-Muslim | | | | | | | | | | | | | | | | |
| Not Applicable | | | | | | | | | | | | | | | | |
| | (a) Na | me of Nominee: | | | | | | | | | | | | | | |
| | (b) Father's/Husband's Name: | | | | | | | | | | | | | | | |
| 7. Particulars of nominee (Optional but if desired, | I I Spouce II II Father II II Moth | | | | | | | | | Moth | er | | | | | |
| nomination should only be made | | lationship with Main Applicant $e \ tick \ (\checkmark) \ appropriate \ box]$ | : | | | Br | other | | Ħ | Siste | er | | | Son* | | |
| in case of sole individual and not joint account) | [Tieus | ε τιεκ (*) αρριοριταίε σολή | | | | Da | ughter | k | | | * Incl | uding | step | or ade | pted | child |
| | (d) A | ddress: | | | | | | | | | | | | | | |
| [In case of death of Sub-Account Holder: Nomination may be made | | TC No: e of a resident Pakistani) | | | | | - | | | | | | | | - | |
| in terms of requirements of Section 80 of the Companies Ordinance, | | piry date of CNIC: | | | I | | | | | | | | | | | |
| 1984, which inter alia requires | | COP No: | | | | | _ | | | | | | | | _ | |
| that person nominated as aforesaid shall not be a person | | e of a non-resident Pakistani) piry date of NICOP: | | | | | <u> </u> | | <u> </u> | | | | | | | |
| other than the following relatives of the Sub-Account Holder, | . , | . , | | | Passpo | ort Nun | nber: | | | | | | | | | |
| namely: a spouse, father, mother, brother, sister and son or | (i) P | and the Har | | F | | ce of Issue: | | | | | | | | | | |
| daughter, including a step or adopted child.] | | sport details: se of a foreigner or a Pakistani | origin) |) | Date o | e of Issue: | | | | | | | | | | |
| ииорієй спіш. ј | | | | | Date o | of Expir | ry: | | | | | | | | | |
| | (j) Coı | ntact No: | | | | 1 | (k) Fax | : (optio | nal) | | | | | | | |
| | - | nail: (optional) | | | | J | • | | | | | | | | | |
| D. CDC SMS / IVR/ WEB SERVI | | | | | | | | | | | | | | | | |
| CDC provides <u>FREE OF COST</u> ser | vices uno | der CDC access whereby sub-a | ccount | holder | rs can l | nave re | al time | access | to the | ir acc | count 1 | relate | d info | rmati | on. | |
| 1. SMS is part of such service, who | re alerts | s are sent whenever certain ac | ctivities | s take | place | in a su | b-acco | unt inc | ludin | g sec | uritie: | s mo | veme | nt, ple | dge e | etc. |
| (a) For SMS Service, please provide | local mo | bbile number of your Contact P | erson: | | | | | | | | | | | | | |
| (b) If you do not wish to subscribe to | SMS S | ervice, please sign here: | | | | | | | | | | | | | | |
| 2. Do you wish to subscribe to free | of cost l | IVR Service? [Please tick () | the app | propri | ate box | x] | | Ye | es | | | | | No | | |
| 3. Do you wish to subscribe to free | of cost | Web Service? [Please tick () | the ap | propri | ate bo. | <i>x]</i> | | Ye | es | | | | | No | | |
| 4. If you are subscribing to IVR ar | d/or W | eb Service, please provide foll | owing | detail | s of yo | ur Coi | ntact P | erson: | | | | | | | | |
| (a) Date of Birth (DD / MM / YYYY |) | / | | | / | | | | | | | | | | | |
| (b) Mother's Maiden Name: | | | | (c) Eı | nail A | ddress: | <u>_</u> | | | | | | | | | |
| Signatures: | | | | | | | | | | | | | | | | |
| Main Applicant Joi | Main Applicant Joint Applicant 1 Joint Applicant 2 Joint Applicant 3 Participant | | | | | | | | | | | | | | | |
| x | | | | _ | | _ | | | _ | | | | | | | |

| TONIC I | Either (Singly Jointly [any] only where the M No: Contact No(s) Signature & CACT EXCLU | Main Appli s): Rubber St. SIVELY: 1 12 and So an intained w | vor icant is mainta - iamp: FOR SETTL ection 24 of the vith the Particity | EMENT | OF | Attorned Any of specify: count: | RLYING T | ΓRADES 7 to handle |
|--|--|--|--|--|---|--|--|---|
| (b) (c) (d) (d) (a) (d) (d) (e) (d) (e) (d) (f) (e) (d) (f) (e) (f) (f) (f) (f) (f) (f) (f) (f) (f) (f | Jointly [any] Jointly [any] Only where the M No: Contact No(s) Signature & CACT EXCLUSES ant under Section Sub-Account ma | Main Appli s): Rubber St. SIVELY: 1 12 and So an intained w | icant is maintal and a second | EMENT | OF | Any ot specify: count: UNDEI | RLYING T | FRADES 7 to handle |
| (c) (d) Manager CNIC I CNIC I Participal my/our is s (trades ange or a o time; nly with | Jointly [any] Jointly [any] Only where the M No: Contact No(s) Signature & CACT EXCLUSES ant under Section Sub-Account ma | Main Appli s): Rubber St. SIVELY: 1 12 and So an intained w | icant is maintal and a second | EMENT | OF | Any ot specify: count: UNDEI | RLYING T | 7 to handle |
| (d) Interpretation of the control o | Jointly [any] Jointly [any] Only where the M No: Contact No(s) Signature & CACT EXCLUSES ant under Section Sub-Account ma | Main Appli s): Rubber St. SIVELY: 1 12 and So an intained w | icant is maintal and a second | EMENT | OF | Any ot specify: count: UNDEI | RLYING T | 7 to handle |
| Tanager CNIC I Ove accord HE CDOND LOS Participa my/our is s (trades ange or a o time; nly with | Jointly [any] Jointly [any] Only where the M No: Contact No(s) Signature & CACT EXCLUSES ant under Section Sub-Account ma | Main Appli s): Rubber St. SIVELY: 1 12 and So an intained w | icant is maintal and a second | EMENT | OF | Any ot specify: count: UNDEI | RLYING T | 7 to handle |
| TONIC I | Jointly [any] Jointly [any] Only where the M No: Contact No(s) Signature & CACT EXCLUSES ant under Section Sub-Account ma | Main Appli s): Rubber St. SIVELY: 1 12 and So an intained w | icant is maintal and a second | EMENT | OF | Any ot specify: count: UNDEI | RLYING T | 7 to handle |
| TONIC I | Contact No(s) Signature & C ACT EXCLUSES ant under Section Sub-Account ma | s): Rubber St. USIVELY | tamp: FOR SETTL ection 24 of the vith the Particular the particu | EMENT | OF | specify: count: UNDEI | RLYING T | 7 to handle |
| TONIC I | Contact No(s) Signature & CACT EXCLUSES ant under Section Sub-Account ma | s): Rubber St. USIVELY | tamp: FOR SETTL ection 24 of the vith the Particular the particu | EMENT | OF | count: UNDEI cositorie | RLYING T | 7 to handle |
| TONIC I | Contact No(s) Signature & CACT EXCLUSES ant under Section Sub-Account ma | s): Rubber St. USIVELY | tamp: FOR SETTL ection 24 of the vith the Particular the particu | EMENT the Central | OF 1 Depp | UNDE | s Act, 199' | 7 to handle |
| TONIC I | Contact No(s) Signature & CACT EXCLUSES ant under Section Sub-Account ma | s): Rubber St. USIVELY | tamp: FOR SETTL ection 24 of the vith the Particular the particu | EMENT the Central | OF 1 Depp | UNDE | s Act, 199' | 7 to handle |
| HE CDOND LOS Participa my/our : s (trades ange or a o time; nly with | Contact No(s) Signature & CACT EXCLUSES ant under Section Sub-Account ma | Rubber St. SIVELY 1 12 and So aintained w | ection 24 of the vith the Participation | he Central | l Dep | ositorie | s Act, 199' | 7 to handle |
| HE CDOND LOS Participa my/our : s (trades ange or a o time; nly with | Contact No(s) Signature & CACT EXCLUSES ant under Section Sub-Account ma | Rubber St. SIVELY 1 12 and So aintained w | ection 24 of the vith the Participation | he Central | l Dep | ositorie | s Act, 199' | 7 to handle |
| HE CDOND LOS Participa my/our : s (trades ange or a o time; nly with | C ACT EXCLUSSES ant under Section Sub-Account ma | Rubber St. SIVELY 1 12 and So aintained w | FOR SETTL ection 24 of the vith the Participation | he Central | l Dep | ositorie | s Act, 199' | 7 to handle |
| HE CDOND LOS Participa my/our : s (trades ange or a o time; nly with | C ACT EXCLUSSES ant under Section Sub-Account ma | Rubber St. SIVELY 1 12 and So aintained w | FOR SETTL ection 24 of the vith the Participation | he Central | l Dep | ositorie | s Act, 199' | 7 to handle |
| HE CDOND LOS Participa my/our : s (trades ange or a o time; nly with | C ACT EXCLUSSES ant under Section Sub-Account ma | Rubber St. SIVELY 1 12 and So aintained w | FOR SETTL ection 24 of the vith the Participation | he Central | l Dep | ositorie | s Act, 199' | 7 to handle |
| HE CDOND LOS Participa my/our : s (trades ange or a o time; nly with | Contact No(s) Signature & CACT EXCLUSES ant under Section Sub-Account ma | Rubber St. SIVELY 1 12 and So aintained w | FOR SETTL ection 24 of the vith the Participation | he Central | l Dep | ositorie | s Act, 199' | 7 to handle |
| Participa my/our s s (trades ange or a o time; nly with | Signature & C ACT EXCLUSES ant under Section Sub-Account ma | Rubber St. SIVELY 1 12 and So aintained w | FOR SETTL ection 24 of the vith the Participation | he Central | l Dep | ositorie | s Act, 199' | 7 to handle |
| Participa my/our s s (trades ange or a o time; nly with | Signature & C ACT EXCLUSES ant under Section Sub-Account ma | Rubber St. SIVELY 1 12 and So aintained w | FOR SETTL ection 24 of the vith the Participation | he Central | l Dep | ositorie | s Act, 199' | 7 to handle |
| Participa my/our s s (trades ange or a o time; nly with | C ACT EXCLUSSES ant under Section Sub-Account ma | n 12 and Se | FOR SETTL ection 24 of the vith the Participation | he Central | l Dep | ositorie | s Act, 199' | 7 to handle |
| Participa my/our s s (trades ange or a o time; nly with | ant under Section Sub-Account ma | n 12 and So | ection 24 of the vith the Partici | he Central | l Dep | ositorie | s Act, 199' | 7 to handle |
| Participa my/our s s (trades ange or a o time; nly with | ant under Section Sub-Account ma | n 12 and So | ection 24 of the vith the Partici | he Central | l Dep | ositorie | s Act, 199' | 7 to handle |
| rket pure centry S in Account or to a gift of borrowing all of the | ne above transact | ge in accorrequirements made by my/our Substitute of the Account; me/us to my smade by tions carried | ordance with the ents of the Par y me/us from to b-Account und he Participant y/our Family // me/us from ed out by me/ | he requirer rticipant ar time to tim der the Ma t or to my Members time to t us or servi | ement and/or me; ain A y/our or of time | is of reg r other S account Sub-Ad ther pers | gulations of Sub-Accou under the occount und sons in accordance w | f such Stornt Holders control of the der any Ma |
| ization t | o the Participant and with the Partic Sub-Account Ho | for handli cipant. Han | ing of Book-endling of Bool | ntry Secur k-entry Se Participan | rities ecurit | owned | by the und | lersigned urposes |
| Joint A | pplicant 2 | : | Joint Applica | nt 3 | | Pa | articipant | |
| i i i | all of thoses as participal all of the control of t | all of the above transactorses as prescribed by the Participant for handling ization to the Participant aintained with the Participant aintained Sub-Account House | all of the above transactions carrioses as prescribed by the Commisser Participant for handling of Book-edization to the Participant for handlaintained with the Participant. Harsigned Sub-Account Holder(s) in scific authority shall be obtained of | all of the above transactions carried out by me/oses as prescribed by the Commission from time. Participant for handling of Book-entry Securities ization to the Participant for handling of Book-entration to the Participant. Handling of Book-entration with the Participant. Handling of Book-entration with the Participant of the scific authority shall be obtained on non-judicial | all of the above transactions carried out by me/ us or servoses as prescribed by the Commission from time to time. Participant for handling of Book-entry Securities benefici ization to the Participant for handling of Book-entry Securities on the Participant for handling of Book-entry Securities distributed with the Participant. Handling of Book-entry Securities authority shall be obtained on non-judicial stamp participal cauthority shall be obtained on non-judicial stamp participant. | all of the above transactions carried out by me/ us or services oses as prescribed by the Commission from time to time. Participant for handling of Book-entry Securities beneficially of Education to the Participant for handling of Book-entry Securities aintained with the Participant. Handling of Book-entry Securities aintained Sub-Account Holder(s) in favour of the Participant. For cific authority shall be obtained on non-judicial stamp paper. | all of the above transactions carried out by me/ us or services availed oses as prescribed by the Commission from time to time. Participant for handling of Book-entry Securities beneficially owned be example to the Participant for handling of Book-entry Securities owned aintained with the Participant. Handling of Book-entry Securities for resigned Sub-Account Holder(s) in favour of the Participant. For handling cific authority shall be obtained on non-judicial stamp paper. | Participant for handling of Book-entry Securities beneficially owned by me/us fo distribution to the Participant for handling of Book-entry Securities owned by the und anintained with the Participant. Handling of Book-entry Securities for all other pursigned Sub-Account Holder(s) in favour of the Participant. For handling of Book-entry Securities for all other pursigned Sub-Account Holder(s) in favour of the Participant. For handling of Book-entry Securities for all other pursigned Sub-Account Holder(s) in favour of the Participant. For handling of Book-entry Securities for all other pursigned Sub-Account Holder(s) in favour of the Participant. For handling of Book-entry Securities owned by the under pursigned Sub-Account Holder(s) in favour of the Participant. For handling of Book-entry Securities owned by the under pursigned Sub-Account Holder(s) in favour of the Participant. For handling of Book-entry Securities owned by the under pursigned Sub-Account Holder(s) in favour of the Participant. For handling of Book-entry Securities for all other pursigned Sub-Account Holder(s) in favour of the Participant. For handling of Book-entry Securities for all other pursigned Sub-Account Holder(s) in favour of the Participant. |

TERMS AND CONDITIONS

The Terms and Conditions set herein below shall govern the Sub-Account forming part of the Account Family of the CDS Participant Account of the Participant, which shall be binding on the Sub-Account Holder as well as the Participant:

- 1. Provisions of the Central Depositories Act, 1997 ("the Act") and the Central Depository Company of Pakistan Limited Regulations ("the Regulations") as amended from time to time and the CDC's Operating Manual/Operating Instructions developed and issued pursuant thereto from time to time and any other bylaws, directives of the Securities and Exchange Commission of Pakistan issued from time to time, shall govern the opening, maintenance and operations of the Sub-Account.
- 2. Each page of this form should be duly signed by the Applicant (and joint Applicants if any) and the Participant or any authorized person of the Participant.
- 3. The Participant shall ensure provision of copies of all the relevant laws, rules and regulations at his office for access to the Sub-Account Holder(s) during working hours.
- 4. The Participant shall provide a list of his authorized agents/traders and designated employees, who can deal with the Sub-Account Holder(s) from time to time. Any change(s) therein shall forthwith be intimated in writing to the Sub-Account Holder(s).
- 5. The Registration Details and such other information specified by the Applicant in this form for opening of the Sub-Account appear in the Sub-Account to be established by the Participant in the Central Depository System who shall ensure the correctness and completeness of the same. Any change therein notified by the Sub-Account Holder from time to time in writing to the Participant shall reflect in the Sub-Account of such Sub-Account Holder.
- 6. The Book-entry Securities owned by the Sub-Account Holder shall be exclusively entered in the Sub-Account of such Sub-Account Holder.
- 7. Transfer, Pledge and Withdrawal of Book-entry Securities entered in the Sub-Account of the Sub-Account Holder shall only be made from time to time in accordance with the authorization given by the Sub-Account Holder to the Participant in Part (G) above pursuant to Section 12 and 24 of the Act. Such authorization shall constitutes the congregated / entire authorizations by the Sub-Account Holder(s) in favour of the Participant and supersedes and cancels all prior authorizations (oral, written or electronic) including any different, conflicting or additional terms which appear on any agreement or form the Sub-Account Holder(s) has executed in favour of the Participant.
- 8. Participant shall be liable to give due and timely effect to the instructions of the Sub-Account Holder given in terms of the above-referred authorization with respect to transfer, pledge and withdrawal of Book-entry Securities entered in his Sub-Account under the control of the Participant. Such instructions, among other matters, may include closing of Sub-Account.
- 9. Participant shall send within 10 days of end of each quarter Account Balance statement to the Sub-Account Holder without any fee or charge showing the number of every Book-entry Security entered in his Sub-Account as of the end of the preceding quarter. Such Account Balance statement shall be generated from the CDS. Further, the Sub-Account Holder may request for such statement (including Account Activity reports) from the Participant at any time on payment of a fee on cost basis as prescribed by the Participant. The Participant shall be liable to provide such report/statement to the Sub-Account Holder within 3 Business Days from the date of receipt of such request, with or without charges.
- 10. In consideration for the facilities and services provided to the Sub-Account Holder by the Participant, the Sub-Account Holder shall pay fees and charges to the Participant as applicable for availing such facilities and services under the Act, the Regulations and these Terms & Conditions. In case of outstanding payment against any underlying market purchase transaction, charges and/or losses against the Sub-Account Holder, the Participant shall have the right, subject to Clause 7 above and under prior intimation to the Sub-Account Holder to clear the payment, charges and/or losses (including any shortfall in margin requirements) within the reasonable time prescribed by the Participant, to dispose off the necessary number of Book-entry Securities of the Sub-Account Holder and apply the net proceeds thereof towards the adjustment of such outstanding payment, charges and/or losses, provided that the Participant shall report the disposal of such Securities to the relevant Stock Exchange as an off-market transaction where the Securities are transferred from the Sub-Account to the House Account of the Participant.
- 11. Participant shall have the right, subject to 20 Business Days prior written notice to the Sub-Account Holder to close the Sub-Account if it becomes dormant with no holding balances. No Sub-Account shall be treated as dormant unless there is no activity for continuous six months.
- 12. Where admission of Participant to the CDS is suspended or terminated by the CDC, the Sub-Account Holder shall have the right, subject to the Regulations and the Procedures made thereunder, to request CDC to change his Controlling Account Holder and Participant shall extend full cooperation to the Sub-Account Holder in every regard, without prejudice to his right of recovery of any dues or receivable from the Sub-Account Holder.
- 13. In case of a Joint Account, all obligations and liabilities in relation to this Sub-Account or under these Terms and Conditions shall be joint and several.
- 14. These Terms and Conditions shall be binding on the Participant's nominee, legal representative, successors in interest and/or permitted assigns.
- 15. In the event of any conflict between these Terms and Conditions and the terms and conditions contained in Trading Account Opening Form or any other forms/authorizations prescribed by the Participant or otherwise, the Terms and Conditions contained herein shall prevail, insofar as it is related to the custodial services to be provided by the Participant under the legal framework of CDC.
- 16. The provision of services as provided for hereunder shall not constitute Participant as trustee and the Participant shall have no trust or other obligation in respect of the Book-entry Securities except as agreed by the Participant separately in writing.
- 17. The Participant is not acting under this application form as Investment Manager or Investment Advisor to the Sub-Account Holder(s).
- 18. The Participant should ensure due protection to the Sub-Account Holder regarding rights to dividend, rights or bonus shares etc. in respect of transactions routed through him and not do anything which is likely to harm the interest of the Sub-Account Holder with/from whom it may have had transactions in securities.
- 19. Subject to Section 21 of the Act, Participant shall maintain complete confidentiality of any information or document that is in his knowledge or possession or control relating to the affairs of the Sub-Account Holder(s), and in particular, relating to their Sub-Account(s), and shall not give, divulge, reveal or otherwise disclose such information or document to any other person.
- 20. These Terms and Conditions shall be deemed to have been amended, altered and/or modified if rights and duties of the parties hereto are altered by virtue of change in law, rules, regulations etc. of SECP and/or articles, rules, regulations of the Stock Exchanges and/or the Act, CDC Regulations, CDC's Operating Manual/Operating Procedures and/or any circular, directive or direction issued therein, such changes shall be deemed to have been incorporated and modified the rights and duties of the parties hereto.
- 21. The Participant shall offer IVR/ Web/ SMS (CDS access) facility to the Sub-Account Holder as a mandatory requirement.
- 22. The Participant shall ensure that duly filled in and signed copy of this form along with the acknowledgement receipt is provided to the Sub-Account Holder.

| ۵. | | | | |
|----|----|-----|-----|---|
| Sı | gn | atı | ire | S |

| Main Applicant | Joint Applicant 1 | Joint Applicant 2 | Joint Applicant 3 | Participant |
|----------------|-------------------|-------------------|-------------------|-------------|
| x | | | | |
| | | (5) | | |

DECLARATION & UNDERTAKING

I/We, the undersigned, hereby declare that:

- a) I/We am/are not minor(s);
- b) I/We am/are of sound mind:
- c) I/We have not applied to be adjudicated as an insolvent and that I/We have not suspended payment and that I/We have not compounded with my/our creditors;
- I/We am/are not an undischarged insolvent;
- e) I/We confirm having read and understood the above Terms and Conditions and I/We hereby unconditionally and irrevocably agree and undertake to be bound by and to comply with the above Terms and Conditions and any other terms and conditions which may be notified from time to time with the approval of the concerned authorities modifying or substituting all or any of the above Terms and Conditions in connection with the opening, maintenance and operation of the Sub-Account;
- f) I/We, being the Applicant(s), hereby further confirm that all the information contained in this form is true and correct to the best of my/our knowledge as on the date of making this application;
- g) I/We further agree that any false/misleading information by me/us or suspension of any material fact will render my/our Sub-Account liable for termination and further action under the law; and
- h) I/We hereby now apply for opening, maintaining, operation of Sub-Account forming part of the Account Family of CDS Participant Account of Participant.

DISCLAIMER FOR CDC ACCESS

The main objective of providing information, reports and account maintenance services through the Interactive Voice Response System, Internet /Web access and Short Messaging Service ("SMS") or any other value added service is to facilitate the Sub-Account Holders ("Users") with a more modern way to access their information. CDC makes no other warranty of the IVR, Internet /Web access, SMS or any other value added services and Users hereby unconditionally agree that they shall make use of the internet/web access subject to all hazards and circumstances as exist with the use of the internet. CDC shall not be liable to any Users for providing and making available such services and for failure or delay in the provision of SMS to Users and all Users, who use the IVR, internet access, SMS or any other value added services, shall be deemed to have indemnified CDC, its directors, officers and employees for the time being in office and held them harmless from and against any losses, damages, costs and expenses incurred or suffered by them as a consequence of use of the IVR system, internet/web access, SMS or any other value added services.

All Users hereby warrant and agree that their access of the internet /web by the use of a User-ID and login is an advanced electronic signature and upon issuance of such User-ID to the user, they hereby waive any right to raise any objection to the compliance of the User-ID and login with the criteria of an advance electronic signature.

All Users shall by signing this Form and by their conduct of accessing the IVR, internet/Web access, SMS or any other value added services agree to all the terms and conditions and terms of use as shall appear on the CDC website at www.cdcaccess.com.pk which shall be deemed to have been read and agreed to by the Users before signing this form.

| Name of Applicant: | | Date: Place: | | | | | | Signature: | | | | | | | |
|--|----------|-----------------|--|-----------------|---|-------|---|------------|--|------------|-----|--|--|---|--|
| Name of Joint Applicant No 1: | | | | Date: Place: | : | | | | | Signatu | re: | | | | |
| Name of Joint Applicant No 2: | | | | Date: Place: | | | | | | Signature: | | | | | |
| Name of Joint Applicant No 3: | | | | Date: Place: | | | | | | Signatu | re: | | | | |
| For and on behalf of (In case if signed by the Attorney on behalf of the Applicant(s)) | | | | | | | | | | | | | | | |
| I/we hereby agree to admit the Applicant(s) as the Sub-Account Holder(s) in terms of the above Terms and Conditions as amended from time to time and shall abide by the same in respect of opening, maintenance and operation of such Sub-Account. | | | | | | | | | | | | | | | |
| Name of Participant: | | | | |] | Date: | | | | | | | | | |
| Participant's Seal & Signature: | | | | | | | | | | | | | | | |
| Witnesses: | | | | | | | | | | | | | | | |
| 1. Name: | | | | | | | | | | | | | | | |
| Signature: | CNIC No: | | | | | | - | | | | | | | - | |
| 2. Name: | | | | | | | | | | | | | | | |
| Signature: | CNIC No: | | | | | | - | | | | | | | - | |

Enclosures:

- 1. Attested copy of CNIC / NICOP / Passport of the Applicants / Joint Applicants / nominee(s) (as the case may be).
- 2. Duly notarised Power of Attorney* (if applicable).
- 3. Zakat Declaration of the Applicant and the Joint Applicant (if applicable).
- 4. Attested copy of NTN Certificate (if applicable).
- * Where the Applicant is a non-resident or foreigner, duly consularized copy of Power of Attorney by the Consul General of Pakistan having jurisdiction over the Applicant(s) should be submitted.

| H. FOR THE USE | OF PA | RTICIPA | NT ON | LY | | | | | | |
|---|--------|------------|----------|----------|------------------------|----------|------------------------------------|--------|-----------|--|
| Particulars of Sub-A | ccount | Opening F | orm veri | fied by | <i>'</i> : | | | | | |
| | | | | | | Stamp | y: | | | |
| Application: | | Approve | d | | Rejected | Signat | ture: (Authorized signatory) | | Date: | |
| Sub-Account no. issu | ied: | | | | | | | | | |
| Account opened by: | | | | | | | | | | |
| Saved by: Posted by: | | | | | | | | | | |
| Signature: Date: Signature: Date: | | | | | | | | | : | |
| Remarks: (if any) | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | ACKNOWL | EDGEN | MENT RECEIPT | | | |
| Application No: | | | | | | | Date of receipt: | | | |
| I/We hereby confirm | and ac | cknowledge | the rece | ipt of a | duly filled and signed | l Sub-Ac | count Opening Form from the follov | ving A | pplicant: | |
| [Insert Name of Applicant(s)] Participant's Seal & Signature: | | | | | | | | | | |
| 1. | | | | | | | | | | |
| 2. | | | | | | | | | | |
| 3. | | | | | | | | | | |
| 1 | | | | | | | | | | |